



**VENTURA COUNTY
TREASURY OVERSIGHT COMMITTEE**

November 17, 2014

**Ventura County Government Center
Hall of Administration
Main Plaza-Atlantic Conference Room
800 South Victoria Avenue
Ventura, CA 93009**

MINUTES

Committee Members

Supervisor Peter C. Foy Chair, Board of Supervisor
Judge Steven Hintz Treasurer-Tax Collector
Ms. Misty Key Associate Superintendent
Ms. Lori Mahoney Investment Advisor

Staff

Linda Catherine Le Assistant Treasurer-Tax Collector
Connie Mah Treasury Management Division Manager

OPENING (Items 1-5)

CALL TO ORDER & ROLL CALL

At 1:03 p.m., Judge Steven Hintz called the meeting of the Ventura County Treasury Oversight Committee (“TOC”) to order. Roll is called. All committee members are present, with the exception of Mr. Robert Riggs. Supervisor Foy arrived at 1:20 p.m.

AGENDA REVIEW & APPROVAL OF MEETING MINUTES FOR APRIL 9, 2014.

There were no additions or revisions of the Agenda.

Motion: Approve the Meeting Minutes for April 9, 2014.
Moved by Ms. Misty Key, seconded by Judge Steven Hintz.

Vote: Motion carried 3-0
Absent: Supervisor Peter Foy.

COMMITTEE MEMBERS' AND STAFF COMMENTS

Judge Hintz informed the Committee that Mr. Robert Riggs tendered his resignation on October 28, 2014. Judge Hintz is seeking a public member to fill this vacant seat.

INFORMATIONAL AGENDA

AGENDA ITEM 6

Receive and File the Association of Public Treasurers of the United States and Canada's Investment Policy Certificate of Excellence Award, dated July 22, 2014.

The Committee received and filed a Staff Report as presented.

AGENDA ITEM 7

Receive and File Resolutions from the City of Simi Valley and the City of Thousand Oaks and the Liquidation Orders (Ventura County Public Financing Authority Lease Revenue Bonds) to Participate in the Ventura County Treasury Pool.

The Committee received and filed a Staff Report as presented.

AGENDA ITEM 8

Receive and File September 2014 Monthly Investment Report.

The Committee received and filed a Staff Report as presented.

REGULAR AGENDA

AGENDA ITEM 9

Review, Discuss, Receive and File the Standard and Poor's Ventura County Treasury Portfolio Pool Profile Report.

Motion: Approve

Moved by Ms. Misty Key, seconded by Supervisor Peter Foy.

Vote: Motion carried 4-0

AGENDA ITEM 10

Discuss, Review, and Approve the Recommended Proposed Modifications to Statement of Investment Policy.

Motion: Approve

Moved by Ms. Misty Key, seconded by Supervisor Peter Foy.

Vote: Motion carried 4-0

AGENDA ITEM 11

Receive and File the Independent Auditors' Report on Statement of Money in County Treasury for FY 2012-2013.

Motion: Approve

Moved by Supervisor Peter Foy, seconded by Ms. Lori Mahoney.

Vote: Motion carried 4-0

AGENDA ITEM 12

Receive and File a Report "July 2014-September 2014 Transactions."

Motion: Approve

Moved by Supervisor Peter Foy, seconded by Ms. Misty Key.

Vote: Motion carried 4-0

AGENDA ITEM 13

Review, Discuss, Receive and File Broker-Dealers.

Motion: Approve

Moved by Supervisor Peter Foy, seconded by Ms. Misty Key.

Vote: Motion carried 4-0

AGENDA ITEM 14

Receive and File the "Annual Treasury Oversight Committee Audit Engagement Letter."

Motion: Approve

Moved by Ms. Lori Mahoney, seconded by Supervisor Peter Foy.

Vote: Motion carried 4-0

AGENDA ITEM 15

Public Comment.

There were no public comments.

With no further items to discuss, Supervisor Foy and Judge Hintz adjourn the meeting at 1:50 p.m. The next meeting will be Wednesday, March 25, 2015, 1:00 P.M. in the Caribbean Conference Room, Main Plaza, Hall of Administration/Ventura County Government Center.

By: 
Carla Alvara
Administrative Assistant