

NOV 29 2022

CONFLICT OF INTEREST CODE
Ventura County Health Care System Oversight Committee

The Political Reform Act, Government Code section 81000 et seq., requires local government agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code Regs., § 18730) which contains the terms of a standard Conflict of Interest Code, which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings.

The terms of California Code of Regulations, Title 2, Section 18730, and any amendment to it duly adopted by the Fair Political Practices Commission, are hereby incorporated by reference as the Conflict of Interest Code for the **Ventura County Health Care System Oversight Committee** and along with the attached Exhibit A, which designates positions requiring disclosure and Exhibit B, which sets forth disclosure categories for each designated position, constitute the Conflict of Interest Code of the **Ventura County Health Care System Oversight Committee**. Persons holding positions designated in Exhibit A shall file Form 700 Statements of Economic Interests with the Clerk of the Ventura County Board of Supervisors' Office which shall be the Filing Officer.

IN PREPARING THE FORM 700, DESIGNATED FILERS NEED ONLY DISCLOSE THOSE FINANCIAL INTERESTS FALLING WITHIN THE DISCLOSURE CATEGORIES DESIGNATED FOR THAT FILER'S POSITION AS STATED IN EXHIBITS A AND B.

APPROVED AND ADOPTED this 3 day of November 2022:

By: 
Print Name: Barry Zimmerman
Title: Oversight Committee Secretary/HCA Director

EXHIBIT A – DESIGNATED POSITIONS

# of POSITIONS	POSITION TITLE	DISCLOSURE CATEGORIES (From Exhibit B)
2	Board Supervisor	1
1	Health Care Agency Director	1
1	VCMC CEO	1
1	Ambulatory Care CEO	1
1	Behavioral Health Director	1
1	Auditor Controller	1
1	VCMC CMO	1
1	VCMC Chief of Staff	1
1	County Executive Officer	1
1	Public Member	1
Consultants ¹		

¹ The disclosure, if any, required of a consultant will be determined on a case-by-case basis by the head of the agency or designee. The determination of whether a consultant has disclosure requirements should be made in writing on a Fair Political Practices Commission Form 805. The determination should include a description of the consultant's duties and based upon that description, a statement of the extent, if any, of the disclosure requirements. Each Form 805 is a public record and should be retained for public inspection either in the same manner and location as the Conflict of Interest Code, or with appropriate documentation at the location where the Conflict of Interest Code is maintained, cross-referencing to the Form 805.

EXHIBIT B – DISCLOSURE CATEGORIES

The terms *italicized* below have specific meaning under the Political Reform Act. In addition, the financial interests of a spouse, domestic partner and dependent children of the public official holding the designated position may require reporting. Consult the instructions and reference pamphlet of the Form 700 for explanation.

Category 1 – BROADEST DISCLOSURE

[SEE FORM 700 SCHEDULES A-1, A-2, B, C, D and E]

- (1) All sources of *income, gifts, loans and travel payments*;
- (2) All *interests in real property*; and
- (3) All *investments and business positions in business entities*.

Category 2 – REAL PROPERTY

[SEE FORM 700 SCHEDULE B]

All *interests in real property*, including *interests in real property* held by *business entities* and trusts in which the public official holds a business position or has an *investment* or other financial interest.

Category 3 – LAND DEVELOPMENT, CONSTRUCTION AND TRANSACTION

[SEE FORM 700 SCHEDULES A-1, A-2, C, D and E]

All *investments, business positions* and sources of *income, gifts, loans and travel payments*, from sources which engage in land development, construction, or real property acquisition or sale.

Category 4 – PROCUREMENT

[SEE FORM 700 SCHEDULES A-1, A-2, C, D and E]

All *investments, business positions* and sources of *income, gifts, loans and travel payments*, from sources which provide services, supplies, materials, machinery or equipment which the designated position procures or assists in procuring on behalf of their agency or department.

Category 5 – REGULATION AND PERMITTING

[SEE FORM 700 SCHEDULES A-1, A-2, C, D and E]

All *investments, business positions* and sources of *income, gifts, loans and travel payments*, from sources which are subject to the regulatory, permitting or licensing authority of, or have an application or license pending before, the designated position's agency or department.

Category 6 – FUNDING

[SEE FORM 700 SCHEDULES A-1, A-2, C, D and E]

All *investments, business positions* and sources of *income, gifts, loans and travel payments*, from sources which receive grants or other funding from or through the designated position's agency or department.

**APPENDIX - DESIGNATING OFFICIALS WHO
MANAGE PUBLIC INVESTMENTS**

Pursuant to Government Code section 87200 et seq., certain city and county officials, as well as all "other officials who manage public investments," are required to disclose their economic interests in accordance with the Political Reform Act. This Appendix provides the relevant definitions for determining which public officials qualify as "other officials who manage public investments," designates the agency's positions which qualify as such, and states the Filing Officer for each designated position.

APPLICABLE DEFINITIONS

As set forth in 2 California Code of Regulations section 18701, the following definitions apply for the purposes of Government Code section 87200:

(1) "Other public officials who manage public investments" means:

(A) Members of boards and commissions, including pension and retirement boards or commissions, or of committees thereof, who exercise responsibility for the management of public investments;

(B) High-level officers and employees of public agencies who exercise primary responsibility for the management of public investments, such as chief or principal investment officers or chief financial managers. This category shall not include officers and employees who work under the supervision of the chief or principal investment officers or the chief financial managers; and

(C) Individuals who, pursuant to a contract with a state or local government agency, perform the same or substantially all the same functions that would otherwise be performed by the public officials described in subdivision (1)(B) above.

(2) "Public investments" means the investment of public moneys in real estate, securities, or other economic interests for the production of revenue or other financial return.

(3) "Public moneys" means all moneys belonging to, received by, or held by, the state, or any city, county, town, district, or public agency therein, or by an officer thereof acting in his or her official capacity, and includes the proceeds of all bonds and other evidences of indebtedness, trust funds held by public pension and retirement systems, deferred compensation funds held for investment by public agencies, and public moneys held by a financial institution under a trust indenture to which a public agency is a party.

(4) "Management of public investments" means the following non-ministerial functions: directing the investment of public moneys; formulating or approving investment policies; approving or establishing guidelines for asset allocations; or approving investment transactions.

DESIGNATED POSITIONS AND FILING OFFICERS

Based on the foregoing, the following agency positions and/or consultants qualify as “other officials who manage public investments” and shall file Statements of Economic Interests (Form 700) pursuant to Government Code section 87200 et seq. with the below-designated Filing Officers:

# of POSITIONS	POSITION TITLE/CONSULTANT	FILING OFFICER (Designate County Clerk of Board [COB] or Local Agency’s Clerk [AC])



VENTURA COUNTY MEDICAL SYSTEM
OVERSIGHT COMMITTEE – MINUTES
November 3, 2022

Present:

Supervisor Kelly Long	Supervisor Matt LaVere
Sevet Johnson, PsyD	Kaye Mand
Barry Zimmerman	Wallace Baker, MD
John Fankhauser, MD	John Polich
Theresa Cho, MD	Mike Taylor
Diana Zenner, COO	Danielle Gabele, CNE
Jeff Burgh	Lisa Canale
Minako Watabe, MD	Dee Pupa
Robert Bravo	Lourdes Solorzano
Ursula Sutherland	Peter Bueschen
Patrick O'Connor	James Herman, MD

- I. **CALL TO ORDER**
 - a. The meeting was called to order at 3:03 p.m.
- II. **INTRODUCTIONS / PUBLIC COMMENT** None
- III. **APPROVAL OF MINUTES**

ACTION: The June 22, 2022, minutes were approved as submitted.
- IV. **HEALTH CARE AGENCY ADMINISTRATION REPORT:**
 - a. **Conflict of Interest Code:** After general review and discussion of the Conflict-of-Interest Code, committee members approved.

ACTION: Upon motion made, the committee voted to approve the Conflict-of-Interest Code as presented.
 - b. Medical Staff Bylaws – tabled
 - c. Policies & Procedures- After general review and discussion, the policies and procedures were approved.

ACTION: Upon motion made, the committee voted to approve the Policies & Procedures as presented.
- V. **MEDICAL STAFF REPORT:**
 - a. Chief of Staff Report: Dr. James Herman presented the medical staff report and also reviewed the Policies and Procedures, noting that VCMC received the NSQIP All Cases Meritorious Award for the fifth time from The American College of Surgeons.

ACTION: Upon motion made, the committee voted to approve all Medical Staff Policies & Procedures.
 - b. **STRATEGIC PLANNING, ACCOUNTABILITY and RESOURCING COUNCIL (SPARC) REPORT:**
No report.
- VI. **Health Care Agency / Health Care System Reports.**
 - a. Dr. Fankhauser noted the volumes at Ventura County Medical Center (VCMC) have sustained. Santa Paula Hospital was disproportionately impacted by COVID in FY 20-21 and a higher volume of patients at the SPH new location is expected; hospital volume reports were shared. Surgery services decreased due to staffing; efforts are underway to return or exceed the pre-covid volume. Current Facility Projects discussed included completion of the ADA Parking Project, updates on the Fainer Tower and the Colston Demolition.



**BOARD MINUTES
BOARD OF SUPERVISORS, COUNTY OF VENTURA, STATE OF CALIFORNIA**

**SUPERVISORS MATT LAVERE, JEFF GORELL,
KELLY LONG, JANICE S. PARVIN AND VIANEY LOPEZ
January 10, 2023 at 8:30 a.m.**

CONSENT – COUNTY EXECUTIVE OFFICE – Adoption of Conflict of Interest Code for Ventura County Health Care System Oversight Committee; and Adoption of the Amended Conflict of Interest Code for Ocean View School District.

- (X) All Board members are present.

- (X) Upon motion of Supervisor Long, seconded by Supervisor Parvin, and duly carried, the Board hereby approves the recommendations as stated in the respective Board letters for Consent Items 13 - 24.

By: *Lori Key*
Lori Key
Deputy Clerk of the Board