

OCT 03 2022

Clerk of the Board

2022 Local Agency Biennial Notice

Name of Agency: Ventura County Community College District
 Mailing Address: 761 E. Daily Dr. Ste #200, Camarillo, Ca. 93041
 Contact Person: Mayra Campos Phone No. 805-652-5513
 Email: mcampos@vcccd.edu Alternate Email: celestina_chavez1@vcccd.edu

Accurate disclosure is essential to monitor whether officials have conflicts of interest and to help ensure public trust in government. The biennial review examines current programs to ensure that the agency's code includes disclosure by those agency officials who make or participate in making governmental decisions.

This agency has reviewed its conflict of interest code and has determined that (*check one BOX*):

An amendment is required. The following amendments are necessary:

(*Check all that apply.*)

- Include new positions
- Revise disclosure categories
- Revise the titles of existing positions
- Delete titles of positions that have been abolished and/or positions that no longer make or participate in making governmental decisions
- Other (*describe*) updated amount from \$500 to \$520. Changed pronouns in AP 2712 Conflict of Interest Code-Form 700: Statement of Economic Interests from "him or her" to "their".

The code is currently under review by the code reviewing body.

No amendment is required. (If your code is over five years old, amendments may be necessary.)

Verification (to be completed if no amendment is required)

This agency's code accurately designates all positions that make or participate in the making of governmental decisions. The disclosure assigned to those positions accurately requires that all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding designated positions are reported. The code includes all other provisions required by Government Code Section 87302.


 Rick MacLennan (Oct 3, 2022 12:23 PDT)

Signature of Chief Executive Officer

Oct 3, 2022

Date

All agencies must complete and return this notice regardless of how recently your code was approved or amended. Please return this notice no later than **October 3, 2022**, or by the date specified by your agency, if earlier, to: **E-Mail to: form700clerk@ventura.org**

or
Mail to: Clerk of the Board of Supervisors
800 S. Victoria Avenue, L# 1920
Ventura, CA 93009-1920

PLEASE DO NOT RETURN THIS FORM TO THE FPPC.

TO: MEMBERS, BOARD OF EDUCATION
FROM: DR. JEFF DAVIS, SUPERINTENDENT
DATE: SEPTEMBER 13, 2022
**SUBJECT: B.5.a. APPROVE AMENDMENT TO BOARD BYLAW EXHIBIT 9270
CONFLICT OF INTEREST CODE**

ACTION

ISSUE: Should the Board of Education approve the proposed amendment to Board Bylaw Exhibit 9270 – Conflict of Interest Code?

BACKGROUND: Pursuant to Government Code § 87306.5, every local government agency is required to review its conflict of interest code biennially and submit the Local Agency Biennial Notice to its code reviewing body. Accurate disclosure is essential to monitor whether officials have conflicts of interest and to help ensure public trust in government. The biennial review examines current programs to ensure that the agency's code includes disclosure by those agency officials who make or participate in making governmental decisions.

By October 1, 2022, the attached 2022 Local Agency Biennial Notice and amended code, if necessary, must be filed with the Clerk of the Board's Office as directed by the Board of Supervisors.

Staff has determined that the Conflict of Interest code and Exhibit require to be amended due to the following:

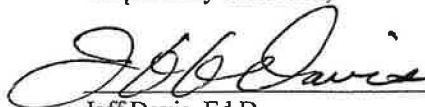
- There has been a substantial change to the agency's organizational structure since the last code was approved
- A position has been eliminated since the last code was approved
- A new position has been added since the last code was approved
- Revision of disclosure categories since the last code was approved

ALTERNATIVES:

1. Approve the amendment to Board Bylaw Exhibit 9270 – Conflict of Interest Code
2. Do not amend Board Bylaw Exhibit 9270 – Conflict of Interest Code

RECOMMENDATION: Approval of Alternative #1.

Respectfully submitted,



Jeff Davis, Ed.D.
Superintendent

BOARD OF EDUCATION MEETING, SEPTEMBER 13, 2022

Approve the amendment to Board Bylaw Exhibit 9270 –

Conflict of Interest Code

Page 2

Board Action: On motion of T. Wang, seconded by S. Hardy, the Board of Education:

VOTE:	AYES	NOES	ABSTAIN	ABSENT
Hardy	<u> </u>	<u> </u>	<u> </u>	<u> </u>
Hazelton	<u> </u>	<u> </u>	<u> </u>	<u> </u>
Helfstein	<u> </u>	<u> </u>	<u> </u>	<u> </u>
Rosen	<u> </u>	<u> </u>	<u> </u>	<u> </u>
Wang	<u> </u>	<u> </u>	<u> </u>	<u> </u>
Student Rep	<u> </u>	<u> </u>	<u> </u>	<u> </u>



Agenda Item Details

Meeting	Sep 30, 2022 - Chancellor's Consultation Council
Category	4. Administrative Procedures
Subject	4.01 Action to Approve AP 2712 Conflict of Interest Code - Form 700: Statement of Economic Interests (revised)
Access	Public
Type	Action
Recommended Action	The Chancellor recommends approval.

Public Content

Presenter

Rick MacLennan, Laura Barroso

Administrative Procedure AP 2712 Conflict of Interest Code - Form 700: Statement of Economic Interests (revised) was derived through the participatory governance process. It was approved at the September 8, 2022 District Council on Human Resources meeting and the September 19, 2022 Chancellor's Cabinet meeting and provided for information at the Administrative Services Committee on September 22, 2022.

A summary of the proposed revisions are listed below.

- Updated language to reflect Increase to \$520 in sections 7.B Personal Income Disclosure, Section 8.1 Prohibition on Receipt of Gifts, 8.2.E.3 Loans to Public Officials, 8.3 Loan Terms, 9.C and 9.D Disqualification
- Updated list of positions to add Vice Chancellor of Institutional Effectiveness and removal of Executive Vice Presidents
- Updated pronoun language to replace "his or hers" to "their"

The Agency Community College League of California recommendation is provided for information only. *Please note that additional language will be included once it is determined why the amount in the CCLC template is different than the amount in the government code noted above.

Further Information

Rick MacLennan, Laura Barroso

[AP 2712 - Conflict of Interest Code - Form 700 -Statement of Economic Interests \(DRAFT\).pdf \(134 KB\)](#)

[AP 2712 - Conflict of Interest Code - Form 700 -Statement of Economic Interests \(CLEAN\).pdf \(132 KB\)](#)

[CCLC AP 2712 Conflict of Interest Code Rev.pdf \(250 KB\)](#)

Motion & Voting

The Chancellor recommends approval.

Motion to approve by Julius Sokenu, second by Cynthia Herrera.

Final Resolution: Motion was approved.

Yes: All were in favor



**Friday, September 30, 2022
Chancellor's Consultation Council**

Order of the Agenda

Ventura County Community College District

10:30 a.m. via Zoom

<https://vcccd-edu.zoom.us/j/87089596817?pwd=YnRTUXlvQTNhM0t2U25LQTZYTjYXRUT09>

Passcode: 425192

1. Call to Order

1.01 Call to Order

2. Review of Consultation Council Notes

2.01 Review of September 2, 2022 Consultation Council Notes.

3. Board Meeting Agenda Review

3.01 Review and discuss October 11, 2022 Board of Trustees Meeting Agenda

4. Administrative Procedures

4.01 Action to Approve AP 2712 Conflict of Interest Code - Form 700: Statement of Economic Interests (revised)

5. Board Policies

5.01 Information: Board Policy 5035 Withholding of Student Records (revised)

6. Other Business

6.01 None.

7. Future Meetings

7.01 Future Meetings

7.02 Future Agenda Items

8. Consultation Council Member Updates

8.01 Updates to the Council

9. Adjourn Meeting

9.01 Adjourn Meeting

09/30/2022 VCCCD Chancellor's Consultation Council Meeting - Attendance List

Name (Original Name)	User Email	Join Time	Leave Time	Duration (Minutes)	Guest	In Waiting Room
Michelle R Castelo	michelle_castelo1@vcccd.edu	9/30/2022 9:28	9/30/2022 10:11	44	No	No
Cynthia L Herrera (Cynthia L Hentley)	cynthia_herrera@vcccd.edu	9/30/2022 9:28	9/30/2022 10:10	43	No	No
Karina Arteaga		9/30/2022 9:28	9/30/2022 10:11	43	Yes	No
Alexander Rock Fredell	afredell@vcccd.edu	9/30/2022 9:29	9/30/2022 10:10	42	No	No
Maria Urenda	maria_urenda@vcccd.edu	9/30/2022 9:30	9/30/2022 9:33	4	No	No
Laura L. Barroso		9/30/2022 9:30	9/30/2022 10:10	41	Yes	No
Patti Blair	pblair@vcccd.edu	9/30/2022 9:30	9/30/2022 10:11	41	No	No
Rick MacLennan	rmacLennan@vcccd.edu	9/30/2022 9:32	9/30/2022 10:11	39	No	No
David El Fattal		9/30/2022 9:32	9/30/2022 10:10	39	Yes	No
OC ASG Damaris Figueroa (Damaris)		9/30/2022 9:32	9/30/2022 10:10	39	Yes	No
Elissa Caruth	ecaruth@vcccd.edu	9/30/2022 9:32	9/30/2022 10:11	39	No	No
Jullus Sokenu		9/30/2022 9:33	9/30/2022 10:10	38	Yes	No
Ann Marie McCarthy	annmarie_mccarthy2@vcccd.edu	9/30/2022 9:33	9/30/2022 10:10	38	No	No
Amparo Medina (Amparo Christina Martinez)	amparo_medina1@vcccd.edu	9/30/2022 9:33	9/30/2022 10:01	28	No	No
Maria Urenda	maria_urenda@vcccd.edu	9/30/2022 9:33	9/30/2022 10:11	38	No	No
Dan Watkins		9/30/2022 9:34	9/30/2022 10:11	37	Yes	No
Oscar Coblan		9/30/2022 9:34	9/30/2022 10:10	37	Yes	No
John D Forbes	jforbes@vcccd.edu	9/30/2022 9:36	9/30/2022 10:10	35	No	No



Book	VCCCD Administrative Procedure Manual
Section	Chapter 2 Board of Trustees
Title	AP 2712 Conflict of Interest Code - Form 700: Statement of Economic Interests
Code	AP 2712
Status	Active
Legal	California Code of Regulations, Title 2, Section 18730 California Government Code Section 87103, Subdivision (e) California Government Code Sections 87300-87302 California Government Code Section 89501 California Government Code Section 89502 California Government Code Section 89503
Adopted	August 12, 2008
Last Revised	September 30, 2022
Last Reviewed	September 8, 2020

Pursuant to Section 18730 of Title 2 of the California Code of Regulations, incorporation by reference of the terms of this regulation along with the designation of employees and the formulation of disclosure categories in Section 13 below constitute the adoption and promulgation of a conflict of interest code within the meaning of Government Code section 87300 or the amendment of a conflict of interest code within the meaning of Government Code section 87306 if the terms of this regulation are substituted for terms of a conflict of interest code already in effect. A code so amended or adopted and promulgated requires the reporting of reportable items in a manner substantially equivalent to the requirements of article 2 of chapter 7 of the Political Reform Act, Government Code section 81000 et seq. The requirements of a conflict of interest code are in addition to other requirements of the Political Reform Act, such as the general prohibition against conflicts of interest contained in Government Code section 87100, and to other state or local laws pertaining to conflicts of interest.

Section 1. Definitions

The definitions contained in the Political Reform Act of 1974, regulations of the Fair Political Practices Commission (2 Cal. Code Regs., § 18110 et seq.), and any amendments to the Act or regulations, are incorporated by reference into this conflict of interest code.

Section 2. Designated Employees

The persons holding positions listed in Section 13 are designated employees. It has been determined that these persons make or participate in the making of decisions which may foreseeably have a material effect on economic interests.

Section 3. Disclosure Categories

This code does not establish any disclosure obligation for those designated employees who are also specified in Government Code section 87200 if they are designated in this code in that same capacity or if the geographical jurisdiction of this agency is the same as or is wholly included within the jurisdiction in which those persons must report their economic interests pursuant to article 2 of chapter 7 of the Political Reform Act, Government Code section 87200 et seq. In addition, this code does not establish any disclosure obligation for any designated employees who are designated in a conflict of interest code for another agency, if all of the following apply:

- A. The geographical jurisdiction of this agency is the same as or is wholly included within the jurisdiction of the other agency;

- B. The disclosure assigned in the code of the other agency is the same as that required under article 2 of chapter 7 of the Political Reform Act, Government Code section 87200; and
- C. The filing officer is the same for both agencies.[1] Such persons are covered by this code for disqualification purposes only. With respect to all other designated employees, the disclosure categories set forth in Section 13 specify which kinds of economic interests are reportable. Such a designated employee shall disclose in their Statement of Economic Interests those economic interests he or she has which are of the kind described in the disclosure categories to which he or she is assigned in Section 13. It has been determined that the economic interests set forth in a designated employee's disclosure categories are the kinds of economic interests which he or she foreseeably can affect materially through the conduct of their office.

Section 4. Statements of Economic Interests

Place of Filing. The code reviewing body shall instruct all designated employees within its code to file Statements of Economic Interests with the agency or with the code reviewing body, as provided by the code reviewing body in the agency's conflict of interest code.[2]

Section 5. Statements of Economic Interests

Time of Filing.

- A. **Initial Statements.** All designated employees employed by the agency on the effective date of this code, as originally adopted, promulgated and approved by the code reviewing body, shall file statements within 30 days after the effective date of this code. Thereafter, each person already in a position when it is designated by an amendment to this code shall file an initial statement within 30 days after the effective date of the amendment.
- B. **Assuming Office Statements.** All persons assuming designated positions after the effective date of this code shall file statements within 30 days after assuming the designated positions, or if subject to State Senate confirmation, 30 days after being nominated or appointed.
- C. **Annual Statements.** All designated employees shall file statements no later than April 1. If a person reports for military service as defined in the Service member's Civil Relief Act, the deadline for the annual statement of economic interest is 30 days following their return to office, provided the person, or someone authorized to represent the person's interests, notifies the filing officer in writing prior to the applicable filing deadline that he or she is subject to federal statute and is unable to meet the applicable deadline, and provides the filing officer verification of their military status.
- D. **Leaving Office Statements.** All persons who leave designated positions shall file statements within 30 days after leaving office.

Section 5.5. Statements for Persons Who Resign Prior to Assuming Office

Any person who resigns within 12 months of initial appointment, or within 30 days of the date of notice provided by the filing officer to file an assuming office statement, is not deemed to have assumed office or left office, provided he or she did not make or participate in the making of, or use their position to influence any decision and did not receive or become entitled to receive any form of payment as a result of their appointment. Such persons shall not file either an assuming or leaving office statement.

- A. Any person who resigns a position within 30 days of the date of a notice from the filing officer shall do both of the following:
 - 1. File a written resignation with the appointing power; and
 - 2. File a written statement with the filing officer declaring under penalty of perjury that during the period between appointment and resignation he or she did not make, participate in the making, or use the position to influence any decision of the agency or receive, or become entitled to receive, any form of payment by virtue of being appointed to the position.

Section 6. Contents of and Period Covered by Statements of Economic Interests

- A. **Contents of Initial Statements.** Initial statements shall disclose any reportable investments, interests in real property and business positions held on the effective date of the code and income received during the 12 months prior to the effective date of the code.
- B. **Contents of Assuming Office Statements.** Assuming office statements shall disclose any reportable investments, interests in real property and business positions held on the date of assuming office or, if subject to State Senate confirmation or appointment, on the date of nomination, and income received during the 12 months prior to the date of assuming office or the date of being appointed or nominated, respectively.
- C. **Contents of Annual Statements.** Annual statements shall disclose any reportable investments, interests in real property, income and business positions held or received during the previous calendar year provided, however, that the period covered by an employee's first annual statement shall begin on the effective date of the code or the date of assuming office, whichever is later.
- D. **Contents of Leaving Office Statements.** Leaving office statements shall disclose reportable investments, interests in real property, income and business positions held or received during the period between the closing date of the last statement filed and the date of leaving office.

Section 7. Manner of Reporting

Statements of Economic Interests shall be made on forms prescribed by the Fair Political Practices Commission and supplied by the agency, and shall contain the following information:

- A. Investments and Real Property Disclosure.** When an investment or an interest in real property^[3] is required to be reported,^[4] the statement shall contain the following:
1. A statement of the nature of the investment or interest;
 2. The name of the business entity in which each investment is held, and a general description of the business activity in which the business entity is engaged;
 3. The address or other precise location of the real property;
 4. A statement whether the fair market value of the investment or interest in real property exceeds two thousand dollars (\$2,000), exceeds ten thousand dollars (\$10,000), exceeds one hundred thousand dollars (\$100,000), or exceeds one million dollars (\$1,000,000).
- B. Personal Income Disclosure.** When personal income is required to be reported,^[5] the statement shall contain:
1. The name and address of each source of income aggregating five hundred and twenty dollars (\$520) or more in value, or fifty dollars (\$50) or more in value if the income was a gift, and a general description of the business activity, if any, of each source;
 2. A statement whether the aggregate value of income from each source, or in the case of a loan, the highest amount owed to each source, was one thousand dollars (\$1,000) or less, greater than one thousand dollars (\$1,000), greater than ten thousand dollars (\$10,000), or greater than one hundred thousand dollars (\$100,000);
 3. A description of the consideration, if any, for which the income was received;
 4. In the case of a gift, the name, address and business activity of the donor and any intermediary through which the gift was made; a description of the gift; the amount or value of the gift; and the date on which the gift was received;
 5. In the case of a loan, the annual interest rate and the security, if any, given for the loan and the term of the loan.
- C. Business Entity Income Disclosure.** When income of a business entity, including income of a sole proprietorship, is required to be reported,^[6] the statement shall contain:
1. The name, address, and a general description of the business activity of the business entity;
 2. The name of every person from whom the business entity received payments if the filer's pro rata share of gross receipts from such person was equal to or greater than ten thousand dollars (\$10,000).
- D. Business Position Disclosure.** When business positions are required to be reported, a designated employee shall list the name and address of each business entity in which he or she is a director, officer, partner, trustee, employee, or in which he or she holds any position of management, a description of the business activity in which the business entity is engaged, and the designated employee's position with the business entity.
- E. Acquisition or Disposal during Reporting Period.** In the case of an annual or leaving office statement, if an investment or an interest in real property was partially or wholly acquired or disposed of during the period covered by the statement, the statement shall contain the date of acquisition or disposal.

Section 8. Prohibition on Receipt of Honoraria

1. No member of a state board or commission, and no designated employee of a state or local government agency, shall accept any honorarium from any source, if the member or employee would be required to report the receipt of income or gifts from that source on their Statement of Economic Interests.
2. This section shall not apply to any part-time member of the governing board of any public institution of higher education, unless the member is also an elected official.
3. Subdivisions (a), (b) and (c) of Government Code section 89501 shall apply to the prohibitions in this section.
4. This section shall not limit or prohibit payments, advances, or reimbursements for travel and related lodging and subsistence authorized by Government Code section 89506.

Section 8.1. Prohibition on Receipt of Gifts in Excess of \$520

- A. No member of a state board or commission, and no designated employee of a state or local government agency, shall accept gifts with a total value of more than \$520 in a calendar year from any single source, if the member or employee would be required to report the receipt of income or gifts from that source on their statement of economic interests. This section shall not apply to any part-time member of the governing board of any public institution of higher education, unless the member is also an elected official.
- Subdivisions (e), (f), and (g) of Government Code section 89503 shall apply to the prohibitions in this section.

Section 8.2. Loans to Public Officials

- A. No elected officer of a state or local government agency shall, from the date of their election to office through the date that he or she vacates office, receive a personal loan from any officer, employee, member, or consultant of the state or local government agency in which the elected officer holds office or over which the elected officer's agency has direction and control.
- B. No public official who is exempt from the state civil service system pursuant to subdivisions (c), (d), (e), (f) and (g) of Section 4 of Article VII of the Constitution shall, while he or she holds office, receive a personal loan from any officer, employee, member, or consultant of the state or local government agency in which the public official holds office or over which the public official's agency has direction and control. This subdivision shall not apply to loans made to a public official whose duties are solely secretarial, clerical, or manual.

- C. No elected officer of a state or local government agency shall, from the date of their election to office through the date that he or she vacates office, receive a personal loan from any person who has a contract with the state or local government agency to which that elected officer has been elected or over which that elected officer's agency has direction and control. This subdivision shall not apply to loans made by banks or other financial institutions or to any indebtedness created as part of a retail installment or credit card transaction, if the loan is made or the indebtedness created in the lender's regular course of business on terms available to members of the public without regard to the elected officer's official status.
- D. No public official who is exempt from the state civil service system pursuant to subdivisions (c), (d), (e), (f) and (g) of Section 4 of Article VII of the Constitution shall, while he or she holds office, receive a personal loan from any person who has a contract with the state or local government agency to which that elected officer has been elected or over which that elected officer's agency has direction and control. This subdivision shall not apply to loans made by banks or other financial institutions or to any indebtedness created as part of a retail installment or credit card transaction, if the loan is made or the indebtedness created in the lender's regular course of business on terms available to members of the public without regard to the elected officer's official status. This subdivision shall not apply to loans made to a public official whose duties are solely secretarial, clerical, or manual.
- E. This section shall not apply to the following:
 1. Loans made to the campaign committee of an elected officer or candidate for elective office.
 2. Loans made by a public official's spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin, or the spouse of any such persons, provided that the person making the loan is not acting as an agent or intermediary for any person not otherwise exempted under this section.
 3. Loans from a person which, in the aggregate, do not exceed five hundred and twenty dollars (\$520) at any given time.
 4. Loans made, or offered in writing, before January 1, 1998.

Section 8.3. Loan Terms

- A. Except as set forth in subdivision (B), no elected officer of a state or local government agency shall, from the date of their election to office through the date he or she vacates office, receive a personal loan of five hundred and twenty dollars (\$520) or more, except when the loan is in writing and clearly states the terms of the loan, including the parties to the loan agreement, date of the loan, amount of the loan, term of the loan, date or dates when payments shall be due on the loan and the amount of the payments, and the rate of interest paid on the loan.
- B. This section shall not apply to the following types of loans:
 1. Loans made to the campaign committee of the elected officer.
 2. Loans made to the elected officer by their spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin, or the spouse of any such person, provided that the person making the loan is not acting as an agent or intermediary for any person not otherwise exempted under this section.
 3. Loans made, or offered in writing, before January 1, 1998.
- C. Nothing in this section shall exempt any person from any other provision of Title 9 of the Government Code.

Section 8.4. Personal Loans

- A. Except as set forth in subdivision (B), a personal loan received by any designated employee shall become a gift to the designated employee for the purposes of this section in the following circumstances:
 1. If the loan has a defined date or dates for repayment, when the statute of limitations for filing an action for default has expired.
 2. If the loan has no defined date or dates for repayment, when one year has elapsed from the later of the following:
 1. The date the loan was made.
 2. The date the last payment of one hundred dollars (\$100) or more was made on the loan.
 3. The date upon which the debtor has made payments on the loan aggregating to less than two hundred fifty dollars (\$250) during the previous 12 months.
- B. This section shall not apply to the following types of loans:
 1. A loan made to the campaign committee of an elected officer or a candidate for elective office.
 2. A loan that would otherwise not be a gift as defined in this title.
 3. A loan that would otherwise be a gift as set forth under subdivision (A), but on which the creditor has taken reasonable action to collect the balance due.
 4. A loan that would otherwise be a gift as set forth under subdivision (A), but on which the creditor, based on reasonable business considerations, has not undertaken collection action. Except in a criminal action, a creditor who claims that a loan is not a gift on the basis of this paragraph has the burden of proving that the decision for not taking collection action was based on reasonable business considerations.
 5. A loan made to a debtor who has filed for bankruptcy and the loan is ultimately discharged in bankruptcy.
- C. Nothing in this section shall exempt any person from any other provisions of Title 9 of the Government Code.

Section 9. Disqualification

No designated employee shall make, participate in making, or in any way attempt to use their official position to influence the making of any governmental decision which he or she knows or has reason to know will have a reasonably foreseeable material financial effect, distinguishable from its effect on the public generally, on the official or a member of their immediate family or on:

- A. Any business entity in which the designated employee has a direct or indirect investment worth two thousand dollars (\$2,000) or more;
- B. Any real property in which the designated employee has a direct or indirect interest worth two thousand dollars (\$2,000) or more;
- C. Any source of income, other than gifts and other than loans by a commercial lending institution in the regular course of business on terms available to the public without regard to official status, aggregating five hundred and twenty dollars (\$520) or more in value provided to, received by or promised to the designated employee within 12 months prior to the time when the decision is made;
- D. Any business entity in which the designated employee is a director, officer, partner, trustee, employee, or holds any position of management; or
- E. Any donor of, or any intermediary or agent for a donor of, a gift or gifts aggregating \$520 or more provided to, received by, or promised to the designated employee within 12 months prior to the time when the decision is made.

Section 9.3. Legally Required Participation

No designated employee shall be prevented from making or participating in the making of any decision to the extent their her participation is legally required for the decision to be made. The fact that the vote of a designated employee who is on a voting body is needed to break a tie does not make their participation legally required for purposes of this section.

Section 9.5. Disqualification of State Officers and Employees

In addition to the general disqualification provisions of section 9, no state administrative official shall make, participate in making, or use their official position to influence any governmental decision directly relating to any contract where the state administrative official knows or has reason to know that any party to the contract is a person with whom the state administrative official, or any member of their immediate family has, within 12 months prior to the time when the official action is to be taken:

- A. Engaged in a business transaction or transactions on terms not available to members of the public, regarding any investment or interest in real property; or
- B. Engaged in a business transaction or transactions on terms not available to members of the public regarding the rendering of goods or services totaling in value one thousand dollars (\$1,000) or more.

Section 10. Disclosure of Disqualifying Interest

When a designated employee determines that he or she should not make a governmental decision because he or she has a disqualifying interest in it, the determination not to act may be accompanied by disclosure of the disqualifying interest.

Section 11. Assistance of the Commission and Counsel

Any designated employee who is unsure of their duties under this code may request assistance from the Fair Political Practices Commission pursuant to Government Code section 83114 and Regulations 18329 and 18329.5 or from the attorney for their agency, provided that nothing in this section requires the attorney for the agency to issue any formal or informal opinion.

Section 12. Violations

This code has the force and effect of law. Designated employees violating any provision of this code are subject to the administrative, criminal and civil sanctions provided in the Political Reform Act, Government Code sections 81000 - 91014. In addition, a decision in relation to which a violation of the disqualification provisions of this code or of Government Code sections 87100 or 87450 has occurred may be set aside as void pursuant to Government Code section 91003.

Section 13. Designated Positions and Disclosure Requirements

1. The persons occupying the following positions manage public investments. They shall file a full Statement of Economic Interests pursuant to Government Code section 87200 et seq. These eight (8) persons shall file their statements electronically with the Clerk of the Board of Supervisors of the County of Ventura:
 - Members of the Board of Trustees (including Student Trustee): 6
 - Chancellor: 1
 - Vice Chancellor, Business and Administrative Services: 1
 Total: 8

All other designated positions shall file their Statements of Economic Interests with the VCCCD filing officer. The Chancellor shall appoint the VCCCD filing officer.

2. **Disclosure Categories:** The disclosure categories listed below identify the types of investments, business entities, sources of income, or real property which the designated employees must disclose for each disclosure category to which he or she is assigned.

Category 1: All investments and business positions and sources of income from business entities that do business with the District or own real property within the boundaries of the District, plan to do business or own real property within the boundaries of the District within the next year, or have done business with or owned real property within the boundaries of the District within the past two (2) years.

Category 2: All interests in real property which is located in whole or in part within, or not more than two (2) miles outside, the boundaries of the District.

Category 3: All investments and business positions in, and sources of income from, business entities that are engaged in land development, construction or the acquisition or sale of real property within the jurisdiction of the District, plan to engage in such activities within the jurisdiction of the District within the next year, or have engaged in such activities within the jurisdiction of the District within the past two (2) years.

Category 4: All investments and business positions in, and sources of income from, business entities that are banking, savings and loan, or other financial institutions.

Category 5: All investments and business positions in, and sources of income from, business entities that provide services, supplies, materials, machinery, vehicles or equipment of a type purchased or leased by the District.

Category 6: All investments and business positions in, and sources of income from, business entities that provide services, supplies, materials, machinery, vehicles or equipment of a type purchased or leased by the Designated Employee's Department.

Designated Positions, and the Disclosure Categories assigned to them, are as follows:

DESIGNATED POSITIONS

POSITIONS	CATEGORY
Members of Governing Board (including Student Trustee) (6)	1, 2, 3, 4, 5, 6
Members of the Personnel Commission (3)	1
Chancellor (1)	1, 2, 3, 4, 5, 6
Vice Chancellor, Business & Administrative Services (1)	1, 2, 3, 4, 5, 6
Vice Chancellor, Human Resources (1)	1, 2, 3, 4, 5, 6
Vice Chancellor, Institutional Effectiveness (1)	1, 2, 3, 4, 5, 6
Presidents (3)	1, 2, 3, 4, 5, 6
Associate Vice Chancellors (1)	1, 2, 3, 4, 5, 6
Vice Presidents (3)	1, 2, 3, 4, 5, 6
Deans (25)	6
Assistant Deans (2)	6
Directors (includes Grant Directors) (29)	5, 6
Director of Facilities, Maintenance & Operations (3)	2, 3, 5, 6
Director of Fiscal Services (1)	4, 5, 6
Director of General Services (1)	1, 4, 5, 6
Chief of Police (1)	5, 6
College Business Manager (0)	2, 3
Consultants *	1, 2, 3, 4, 5, 6

* Consultants must be included in the list of designated employees and must disclose pursuant to the broadest disclosure category in this Code subject to the following limitation: The Chancellor or designee may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that are limited in scope and thus is not required to comply fully with the disclosure requirements described in this Section.

Such written determination shall include a description of the consultant's duties and, based on that description, a statement of the extent of disclosure requirements. The Chancellor's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

See attached California Form 805 – Agency Report of Consultants.
Also see [Administrative Procedure AP 2710](#).

[1] Designated employees who are required to file Statements of Economic Interests under any other agency's conflict of interest code, or under article 2 for a different jurisdiction, may expand their Statement of Economic Interests to cover reportable interests in both jurisdictions, and file copies of this expanded statement with both entities in lieu of filing separate and distinct statements, provided that each copy of such expanded statement filed in place of an original is signed and verified by the designated employee as if it were an original. See Government Code section 81004.

[2] See Government Code section 81010 and California Code of Regulations, title 2, section 18115, for the duties of filing officers and persons in agencies who make and retain copies of statements and forward the originals to the filing officer.

[3] For the purpose of disclosure only (not disqualification), an interest in real property does not include the principal residence of the filer.

[4] Investments and interests in real property which have a fair market value of less than two thousand dollars (\$2,000) are not investments and interests in real property within the meaning of the Political Reform Act. However, investments or interests in real property of an individual include those held by the individual's spouse and dependent children as well as a pro rata share of any investment or interest in real property of any business entity or trust in which the individual, spouse and dependent children own, in the aggregate, a direct, indirect or beneficial interest of ten percent (10%) or greater.

[5] A designated employee's income includes their community property interest in the income of their spouse but does not include salary or reimbursement for expenses received from a state, local or federal government agency.

[6] Income of a business entity is reportable if the direct, indirect or beneficial interest of the filer and the filer's spouse in the business entity aggregates a 10 percent or greater interest. In addition, the disclosure of persons who are clients or customers of a business entity is required only if the clients or customers are within one of the disclosure categories of the filer.

CAForm805-AgencyRptConsultants-AP2712Attach-Rev2016.pdf (325 KB)

Governance Review
2022.09.08 District Council on Human Resources
2022.09.30 District Chancellor Consultation Council



**BOARD MINUTES
BOARD OF SUPERVISORS, COUNTY OF VENTURA, STATE OF CALIFORNIA**

**SUPERVISORS MATT LAVERE, LINDA PARKS,
KELLY LONG, ROBERT O. HUBER AND VIANEY LOPEZ
November 1, 2022 at 8:30 a.m.**

CONSENT – COUNTY EXECUTIVE OFFICE – Approval of the 2022 Biennial Notices for the Board of Supervisors-District Office Staff and the Ventura County Public Financing Authority; Adoption of Conflict of Interest Codes for 127 Local Agencies; and Receive and File the 2022 Biennial Notices.

(X) All Board members are present.

(X) Upon motion of Supervisor Parks, seconded by Supervisor Huber, and duly carried, the Board hereby approves the recommendations as stated in the respective Board letters for Consent Items 12 – 44 and 46 – 62, with a revised Exhibit 2 for Item 24 and a revised Board letter and revised Exhibit 1 for Item 37.

By: Lori Key
Lori Key
Deputy Clerk of the Board